

CLM892 - RESOLVE EMPLOYEE ID DESIGNATED BY JURISDICTION DIFFERENCES

Background:

There is a discrepancy between what is allowed in the Social Security Number (DN0042) between Release 1 and Releases 3.1. Release 1 contained an "Implementation Note" which allowed for the submission of an Employee ID Assigned by the Jurisdiction in the Social Security Number (DN0042) field. This becomes an issue when a jurisdiction is transitioning from Release 1 to 3.1 because all Employee IDs for Release 1 were "Social Security Numbers" - even when it was actually an Employee ID Assigned by the Jurisdiction. In Release 3.1, the Employee ID Assigned by the Jurisdiction (DN0154) has a unique Employee ID Type Qualifier (DN0270) and is now being sent as an "A". This causes Legacy Claim Match Data issues for jurisdictions because only "S" – Social Security Number Employee Id Types were received in Release 1.

After discussion at the July 17th Claims Committee call, these are the suggestions:

- Suggest that jurisdictions set up edit where if FROI MTC = UR and DN0270 Employee ID Type Qualifier is = S or A then match incoming value to Employee ID value on existing record. If either matches existing Employee ID then accept the FROI UR. Any reporting occurring after the FROI UR should use this same Match Data unless there is a FROI 02 submitted to change Match Data.
- Add a DP Rule to Employee ID to indicate the usage for legacy claims for SSN.
- Add to Section 4 – Legacy Claims Processing Rules.
- Add to Section 4 - Acquired Claims (suggestion from 8/21/19 Claims Committee meeting).
- Add to Section 4 - Match Data Rules (suggestion from 8/21/19 Claims Committee meeting).

Proposed Resolution:

Add to Section 4 Match Data Rules – Page 4.56 & 4.57

Data Element Name	New Claims	Existing Claims	Corrections
Jurisdiction Code (DN0004)	Secondary	Secondary	Secondary
Jurisdiction Claim Number (DN0005)		Primary	Primary
Claim Administrator Claim Number (DN0015)	Secondary, if unique	Secondary, if unique*	Secondary, if unique*
Employee ID **** <ul style="list-style-type: none">▪ Employee SSN (DN0042)– Preferred▪ Employee Green Card (DN0153)▪ Employee Employment Visa (DN0152)▪ Employee ID Assigned by Jurisdiction (DN0154)▪ Employee Passport Number (DN0156)	Primary	Secondary	Secondary
Employee Security ID (DN0206)	Primary**	Secondary	Secondary
Date of Injury (DN0031)	Primary	Secondary	Secondary
Employee Last Name (DN0043)	Secondary	Secondary	Secondary
Employee First Name (DN0044)	Secondary	Secondary	Secondary
Employee Date of Birth (DN0057)	Secondary	Secondary	Secondary
Claim Administrator FEIN (DN0187)	Primary	Secondary	Secondary

Claim Administrator Postal Code (DN0014)	Secondary	Secondary	Secondary
Employer FEIN (DN0016)	Secondary	Secondary	Secondary
Employer UI Number (DN0329)***	Primary	Secondary	Secondary
Employer ID Assigned by Jurisdiction (DN0230)***	Secondary	Secondary	Secondary
Insurer FEIN (DN0006)	Primary	Secondary	Secondary
Maintenance Type Correction Code (DN0295)			Primary
Maintenance Type Correction Code Date (DN0296)			Primary
Maintenance Type Code (DN0002)			Primary
Maintenance Type Code Date (DN0003)			Primary

* On acquired claims, the jurisdiction cannot use this as a match data element because the new claim administrator will be sending its own claim number. Refer to Acquired Claims Processing in Section 4-2 for additional details.

** Will likely be null on initial first report; if present, jurisdiction may reject for invalid value

***Refer to DP rule in the Section 6 Data Dictionary for restrictions for these Match Data elements

**** When FROI MTC UR, AQ or AU is processed, jurisdictions should consider the value in DN0270 Employee ID Type Qualifier when it is equal to S or A, then use the incoming Employee ID value to match to their database. If either matches existing Employee ID then accept the FROI. Any reporting occurring after the FROI UR/AQ/AU should use this same Match Data unless there is a FROI 02 submitted to change Match Data. This rule is intended for transitions from R1 to R3.1.

Add to Section 4 Legacy Claims Processing Rules – Page 4.1

Add a bullet to the end of this section:

A jurisdiction should consider the following issues when determining the answers to the questions above:

- Jurisdictions should consider legacy claims where a jurisdiction has assigned an Employee ID and it had been reported using the DN0042 Social Security Number field in IAIABC Claims R1. R3.1 expects this employee assigned ID to be reported in its own distinct field of DN0154 Employee ID Assigned by Jurisdiction. Jurisdictions should consider how incoming transactions will be matched to their database. Refer to Match Data rules elsewhere in Section 4.

Add a DP Rule to Employee ID Type Qualifier – Page 6.32

EMPLOYEE ID TYPE QUALIFIER – DN0270

Definition: Identifies the employee ID being transmitted.

Orig/Rev: 07/01/97

Record: R21; R22

Format: 1 A/N

Values: A = Employee ID Assigned by Jurisdiction (DN0154)

- E = Employee Employment Visa (DN0152)
- G = Employee Green Card (DN0153)
- P = Employee Passport Number (DN0156)
- S = Employee Social Security Number (DN0042)
- T = Employee Individual Taxpayer Identification Number (DN437)

DP Rule: There are six types of Employee ID numbers: Only one type can be sent. If SSN is known, it is preferred. Refer to Match Data Rules in Section 4 to understand the impact of DN0270 Employee ID Type Qualifiers S (Social Security Number) or A (Employee ID Assigned by Jurisdiction) when jurisdictions are implementing R3.1 or processing acquired claims.

Add to Section 4 Acquired Claims Processing – Page 4.8

Jurisdiction Element Requirement and Edit Process Recommendations

Add the following text to item 1

1. Mandatory data on an AQ FROI transaction should be limited to:

- Jurisdiction Code
- Date of Injury
- Claim Administrator FEIN
- Insurer FEIN
- Employer FEIN
- Insurer Name
- Claim Administrator Name
- Employer Name
- Claim Administrator Address
- Employee Name
- Social Security Number (or any other employee ID number accepted by the jurisdiction) *
- Jurisdiction Claim Number (if provided)
- Insolvent Insurer FEIN (when applicable).

*Jurisdictions should consider acquired claims where a jurisdiction assigned Employee ID has been reported using the DN0042 Social Security Number field by the previous Claim Administrator. R3.1 expects this employee assigned ID to be reported in its own distinct field of DN0154 Employee ID Assigned by Jurisdiction. Jurisdictions should consider how incoming transactions will be matched to their database. Refer to Match Data rules elsewhere in Section 4.

Any additional information known should also be sent on the AQ.